

Results of wavelet processing of the $2K$ -capture ^{78}Kr experiment statistics

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Results of a search for ^{78}Kr double K -capture with the large low-background proportional counter (2005-2008 years) at the Baksan Neutrino Observatory are presented. An experimental method and characteristics of detectors are described. Basic features of the digitized pulses processing using wavelet transform are considered. With due account taken of the analysis of individual noise characteristic it has been shown that the appropriate choice of both wavelet characteristics and sequence of processing algorithms allows one to decrease the background in the energy region of useful events with a unique set of characteristics by ~ 2000 times. New limit on the half-life of ^{78}Kr with regard to $2K$ -capture has been found: $T_{1/2} \geq 2.4 \cdot 10^{21}$ yrs (90% C.L.).

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I. INTRODUCTION

Most $\beta\beta$ decay investigations have concentrated on the $\beta^-\beta^-$ transition (two-neutrino emitting and neutrinoless modes). This transition for the two-neutrino mode has been discovered in direct and geochemical experiments for ten nuclei of the ^{48}Ca , ^{76}Ge , ^{82}Se , ^{96}Zr , ^{100}Mo , ^{116}Cd , ^{128}Te , ^{130}Te , ^{150}Nd and ^{238}U [1],[2]. The data obtained for 2ν -mode offer a chance to directly compare different models of the nuclear structure, which form the basis for calculations of nuclear matrix elements $|M^{2\nu}|$, and to select the optimal one. Though direct correlation between the values of nuclear matrix elements for the two-neutrino and neutrinoless modes of $\beta\beta$ decay is absent lacking, the methods for calculating $|M^{2\nu}|$ and $|M^{0\nu}|$ are very close, and a chance possibility to estimate their accuracy in calculating $|M^{0\nu}|$ appears only when comparing experimental data and theoretical results calculations for the probability of $2\nu\beta\beta$ decay.

It can be expected that acquisition of experimental data on the other types of $\beta\beta$ transitions [the decay with emission of two positrons ($\beta^+\beta^+$), capture of bound atomic electrons with emission of a positron (β^+EC) and double capture of two bound atomic electrons ($ECEC$)] will make it possible to considerably increase the quality of calculations for both 2ν and 0ν processes. Much efforts have been currently made in searching for these processes [3],[4] and [5], in spite of the fact that the $\beta^+\beta^+$ and β^+EC modes are strongly suppressed relative to $\beta^-\beta^-$ decay due to the Coulomb barrier for positrons, and a substantially lower kinetic energy attainable in such transitions. Positrons are absent in the final state of the $2\nu ECEC$ transition, and the kinetic energy of the transition may be rather high (up to 2.8 MeV), which dictates determines an increased probability of a decay. However,

this process is also difficult to detect, since it is only characteristic radiation that is detectable in it.

The experiment to search for $2K$ -capture in ^{78}Kr using a copper low-background proportional counter of large volume has been carried out in the Baksan Neutrino Observatory INR RAS since June 2005 [6]. The data obtained during first 159 hours of measurements with enriched isotope of krypton allowed us to estimate the sensitivity (S) of the new installation in the experiment to search for ^{78}Kr $2K$ -capture half-life. In one year of measurements it has reached $S = 1 \cdot 10^{22}$ year (90% C.L.).

Theoretical calculations based on different models give the following half-life times for this process in ^{78}Kr : $3.7 \cdot 10^{21}$ years [7]; $4.7 \cdot 10^{22}$ years [8]; $7.9 \cdot 10^{23}$ years [9]. The last two values were obtained from the estimation of the half-life time in ^{78}Kr with regard to the total number of $2\nu ECEC$ -transition where 78.6% of events is due to $2\nu 2K$ -capture [10]. Comparison of experimental and theoretical values shows that the sensitivity of the measurements has exceeded the lower limit of theoretical calculations. This fact allows us to test the model of Aunola and Suchonen [7]. The technique to search for $2K$ -capture used in our research is based on the following considerations discussed below.

II. BASIC ASSUMPTIONS

When two electrons are captured from the K -shell in ^{78}Kr , a daughter atom of $^{78}\text{Se}^{**}$ is formed with two vacancies in the K -shell. The technique to search for this reaction is based on the assumption that the energies of characteristic photons and the probability that they will be emitted when a double vacancy is filled are the same as the respective values of the case when two single vacancies of the K -shell in two singly ionized Se^* atoms are filled. In such a case, the total registered energy is $2K_{ab} = 25.3$ keV, where K_{ab} is the binding energy of a K -electron in a Se atom (12.65 keV). The fluorescence

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yield upon filling a single vacancy of the K -shell in Se is 0.596. The energies and relative intensities of the characteristic lines in the K -series are $K_{\alpha 1} = 11.22$ keV (100%), $K_{\alpha 2} = 11.18$ keV (52%), $K_{\beta 1} = 12.49$ keV (21%), and $K_{\beta 2} = 12.65$ keV (1%) [11]. The probability of deexcitation of a doubly ionized K -shell through the emission of Auger electrons (e_a, e_a) only, or through a single characteristic quantum and an Auger electron (K, e_a), or through two characteristic X-rays and low-energy Auger electrons (K, K, e_a) is $p_1 = 0.163$, $p_2 = 0.482$ and $p_3 = 0.355$, respectively.

A characteristic photon can pass a long distance in the gas from the point of its origin to the point of its absorption. For example, 10% of characteristic photons of 12.6 keV energy are absorbed on a length of 2.42 mm ($P_{gas} \sim 4.36$ Bar) [12]. Auger electrons of the same energy will be absorbed on a length of 0.44 mm, which produce almost pointwise charge clusters of primary ionization in the gas [13]. In the case of emission of two characteristic photons absorbed in a gas the energy release will be distributed between three pointwise regions. It is these events that have a number of unique features and are the subject of study in this paper.

III. EXPERIMENTAL TECHNIQUE

To register this process a large proportional counter (LPC) with a casing of M1-grade copper has been used. LPC is a cylinder with inner and outer diameters of 140 and 150 mm. A gold-plated tungsten wire of 10 μ m in diameter is stretched along the LPC axis and serves as an anode. The potential of +2400 V is applied to the wire, and the casing (the cathode) is grounded. Both ends of the anode are electrically connected to the corresponding end cap flanges via high-voltage pressure-sealed ceramic insulators with a central electrode taken from spark plugs.

To reduce the influence of the edges on the operating characteristics of the counter, the end segments of the wire are passed through the copper tubes (3 mm in diameter and of 38.5 mm length) electrically connected to the anode. Gas amplification is absent on these segments, and charge is collected in an ionization mode. Taking into account teflon insulator dimensions, the distance from the operating region to the flange is 70 mm.

The length of the LPC operating volume is 595 mm (the distance between the butt ends of the tubes), and the LPC operating volume is 9.159 l. The total capacitance of the counter and outlet insulator is ~ 30.6 pF. The total resistance of the anode and two output electrodes is ~ 600 Ohm. Indium wire is used to seal all detachable joints, and teflon gaskets are used to seal all nipple joints. The inner insulators are made of teflon, and their thickness was minimized to improve the degassing conditions during the vacuum treatment of the counter and to stabilize its operating characteristics.

The LPC was placed inside the shielding of 18 cm

thick copper, 15 cm thick lead, and 8 cm thick borated polyethylene layers. The installation is located in one of the chambers of the underground laboratory of the Gallium Germanium Neutrino Telescope experiment at the Baksan Neutrino Observatory, INR RAS, at a depth of 4700 m.w.e. where cosmic ray flux is lowered by $\sim 10^7$ times down to the level of $(3.03 \pm 0.10) \times 10^{-9} \text{ cm}^{-2} \text{ s}^{-1}$ [14].

The counter is filled with pure krypton gas up to 4.42 Bar having no quenching or accelerating gaseous additions and is purified through a Ni/SiO₂ absorber from electronegative admixtures. Two samples of krypton were used in this work: one of 48.6 l volume enriched in ^{78}Kr up to 99.8% [15] and natural krypton (100 l) left after ^{78}Kr isotope extraction. Both samples have been specially cleared from cosmogeneous radioactive isotope ^{85}Kr ($T_{1/2} = 10.756$ yrs), present in atmospheric krypton.

The detector signals are passed from the anode wire to the charge-sensitive amplifier (CSA) directly attached to the high-voltage insulator. The CSA parameters have been optimized to transmit a signal with minimum distortions, and information on the primary-ionization charge spatial distribution in its projection to the counter radius is fully represented in the pulse shape. After amplification in an auxiliary amplifier the pulses are collected by the LA-n20-12PCI digital oscilloscope. The oscilloscope, integrated with personal computer, records the pulse waveform as the numerical vector digitized with a frequency of 6.25 MHz. The length of the scanning frame is 1024 points (163.8 μ s) with a resolution of 160 ns, ~ 50 μ s is "prehistory" and ~ 114 μ s is "history".

Processing of digitized pulses in "offline" mode is carried out using the technique developed in this work. This technique rejects pulses of non-ionized nature, determines the type of event and its relative coordinate along the anode wire, and finally selects useful events according to chosen characteristics.

IV. IDENTIFICATION OF MULTIPOINT EVENTS

The response of a linear time-invariant system to some influence can be expressed by the convolution integral:

$$q(t) = \int_{-\infty}^{\infty} h(\tau) i(t - \tau) d\tau, \quad (1)$$

where in our case $i(t)$ is the electric current at the output of LPC, $q(t)$ is the signal from CSA, $h(t)$ - the pulse transitive characteristic of CSA. To describe the output signal recorded by the digital oscilloscope in the form of a discrete set of instantaneous values of amplitudes one can move from integration to summation of instantaneous function values with a step Δt :

$$q(k\Delta t) = \Delta t \sum_n h(n\Delta t) i(k\Delta t - n\Delta t), \quad (2)$$

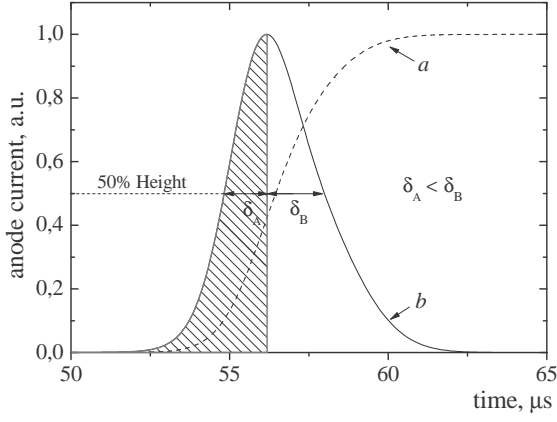


FIG. 1. A depiction of idealized pulse shape single-point event from LPC. The monotonically dashed curve *a* is a charge pulse from the CSA output, and the front-back asymmetry solid curve *b* is an electric current pulse (constructed by differentiating the charge pulse).

The pulse shape of registered charged particles (or photons) taken from LPC has some definite features which allow us to easily distinguish them from non-ionized noise signals and microbreakdown events. The registered events, in their turn, can be sorted out as "point-like" and "lengthy" events by comparing their pulse rise time. Point-like pulses with a small rise time for the pulse front are produced by particles which interact with a krypton gas in the counter in some particular region whose characteristic length is small in comparison with the cathode radius. Lengthy events with long of pulse rise time can be produced when several point-like events are simultaneously registered at different distance from the anode ("multi-point" or "multi-cluster" events). Besides, "extended" pulses arise in a case when the fast electron track length is commensurable with counter radius.

Idealized pulse shape events taken at the output of the LPC's and charge pulses taken from CSA's output produced by single-point events are shown in Fig. 1. The derivative of a pulse taken from CSA replicates the shape of a electric current signal from the LPC's anode wire. In a simplified case one can calculate the value of current in LPC by:

$$\bar{i}_n = \frac{\bar{q}_n - \bar{q}_{(n-1)}}{\Delta x}, \quad (3)$$

where $\Delta x = x_n - x_{n-1}$.

A pulse taken from the anode wire is formed mainly due to the negative charge induced onto the anode by positive ions moving towards the cathode and produced near the wire during the gas amplification (ionic component - i.c.). The contribution of the charge induced at the anode by electrons from avalanches (electronic component - e.c.) is small enough because they have to pass a small potential difference on their way to the anode. The electric current pulse shape is affected by the distribution in density of primary ionization electrons crossing

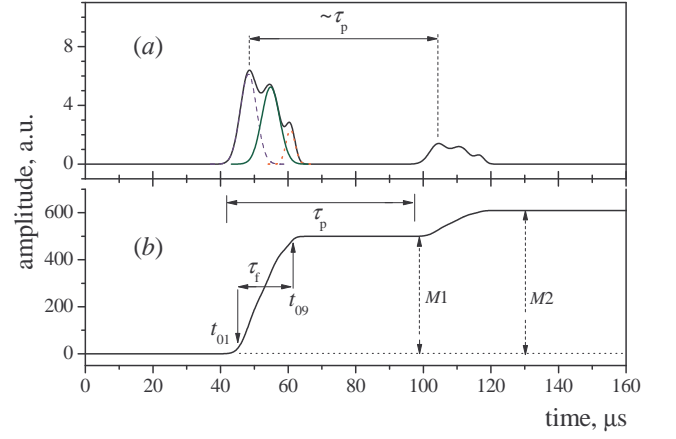


FIG. 2. A depiction of idealized pulse shape analysis parameters from three-point event expected from $2K$ -capture in case of registering two characteristic photon and a cascade of low energy Auger electrons from LPC: (a)- the electric current pulse, (b)- the charge pulse.

the border of gas amplification region. The distribution parameters depend on drift time of the originally point-like charge towards the anode. The point-like ionization is smeared out during the drift time due to diffusion of the electrons into the cloud whose charge density distribution in its projection onto the radius is close to the Gaussian one.

As is seen in Fig. 1, the electric current signal produced as a result of gas amplification has an asymmetric form and cannot be described by a single Gaussian curve. There is a mathematical procedure which allows conversion from a registered charge pulse to a electric current pulse produced by primary ionization electrons through the conventional border of gas amplification. The detailed description of the algorithm for electronic component segregation is given in [16]. The obtained form of a signal can be described by a set of Gaussian curves, thus determining the charge that was deposited in separate components of a multipoint event. The calculated area of an individual Gaussian should correspond to the charge (energy) of the corresponding point-like ionization. Fig. 2 illustrates a demo version of a shape of a electric current pulse $i(t)$: (a) from LPC and the corresponding CSA pulse (b) from three-point event expected from $2K$ -capture in case of registering two characteristic X-rays and a cascade of low energy Auger electrons. In Fig. 2, in addition to the primary pulse, there is a secondary pulse, smaller in amplitude, due to the photoelectrons knocked out of the copper case by photons produced in the electronic avalanches in the gas amplification process.

Photoeffect on the cathode is probable enough because of absence of quenching additions in the krypton gas. The delay between pulse and after-pulse is determined by the total drift time of the electrons to move from the cathode to the anode. It presets the duration of the time interval to allocate totally any single event regardless of

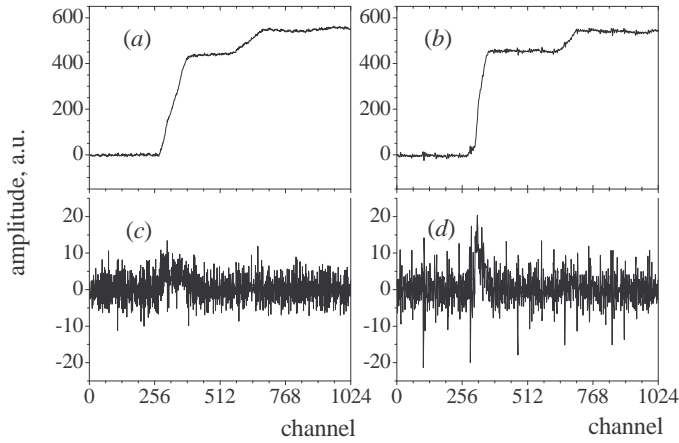


FIG. 3. (a) and (b) curves demonstrate the converted pulses of voltage (charge) normalized for $M1$ of an original CSA pulse and obtained by integrating electric current pulses due to primary ionization electrons (c) and (d) from two real events which are candidates for $2K$ -capture of ^{78}Kr .

its primary ionization distribution over the LPC volume. In case of pure krypton the calculated drift time for the ionization electrons to move from the cathode to the anode is $58 \mu\text{s}$. A Gaussian area or a sum of Gaussians in case of a multipoint event for an interval of $58 \mu\text{s}$, starting from the beginning of a pulse, gives total number of primary ionization electrons.

For the pulse shape analysis we have used the following signal parameters indicated in Fig. 2: 1) $\tau_f = t_{09} - t_{01}$ is the pulse rise time; t_{01} and t_{09} are the moments where the pulse amplitude has reached 10% and 90% of its maximum value $M1$; 2) τ_p is the time of the after pulse appearance since the beginning of the primary pulse; 3) $\lambda = (M2 - M1)/M1$ is the ratio of the difference between the after-pulse's and primary pulse's maximum amplitudes to the primary pulse amplitude.

The parameters borders corresponding regions where useful signals are expected, and are determined from the distribution plotted for the pulses with known characteristics obtained from the calibration sources [16]. Using these borders we select the candidate-events for $2K$ -capture from the whole set of events registered in the course of basic measurements.

Fig. 3 (a) and (b) show converted pulses of voltage (charge) normalized for $M1$ of an original CSA pulse and obtained by integrating electric current pulses due to primary ionization electrons [(c) and (d)] from two real events which are candidates for $2K$ -capture of ^{78}Kr .

As is seen from Fig. 3 (c) and (d) the electric current pulses are very noisy. Noise and possible electrically-induced signals can both mask the low-energy component and produce a false one. Smoothing procedures applied to the initial pulse, with a following differentiation of it, result in deterioration of electric current pulse resolution. As to traditional frequency filtering methods with various window functions like filters of Hemming, Winner,

Sawitsky-Goley and others [16] applied to the electric current signal, it does not allow one to always separate with enough reliability closely located other components of a compound event masking each other.

A. Useful signal extraction from noisy LPC electric current pulses with wavelet analysis

At present the mathematical base for wavelet analysis is well developed and presents a good alternative to the Fourier transform in studying time (spatial) series with distinct irregularity. Wavelet transform, for a one-dimensional signal in particular, consists in its decomposition, through zooming and transfers, on a basis constructed from a wave-like oscillation function with definite properties called wavelet. Each function of this basis is characterized both by a definite spatial (time) frequency and its localization in the physical space (time). The localized basis functions of wavelet transform resemble the signals under investigation to a greater degree than the Fourier basis functions.

Thus, the distinction between the Fourier transform, conventionally used for signal analysis, and the wavelet transform is that the latter provides two-dimensional decoupling of a regular signal where frequency and coordinate are considered to be independent variables, which means that in the wavelet transform an increase in resolution of one of these variables does not automatically result in the decrease of resolution of the other. This independency of both variables allows one to simultaneously analyze the properties of a signal both in physical (time, coordinate) and frequency spaces [17]-[18]. The wavelet transform has also a distinction from the short-time Fourier transform (the Gabor transform) resulting in a two-dimensional spectrum. The distinction is that one and the same function constitutes the basis of the former while in the case of the latter the functions are different [17], and thus the wavelet transform provides the better time-frequency localization, flexibility of analysis and possibility to choose the best fitting form of the wavelet function.

For our applications, the extraction of a real signal from noisy data is a better approach mathematical tool of discrete wavelet transform (DWT). DWT algorithm is related to based upon uses the discrete vector $\{f(n)|n \in N\}$, for which a wavelet spectrum is calculated which in its turn is also a discrete vector. For the orthonormal wavelet bases on a binary lattice, there have been developed algorithms of multiresolution analysis (MRA) or multiscale approximation (MSA). The idea of the latter consists in representing a signal by a sequence of images with different degree of detailing [19]. Each image contains independent nonoverlapping information about a signal in the form of wavelet coefficients which are easily calculated by the iteration procedure known as Fast Wavelet Transform. Taken as a whole, they solve the problem of the total analysis of a signal and substantially

simplify diagnostics of the underlying processes.

MRA application allows one to represent the signal under investigation, $\hat{x}(n)$, in the form of decomposition:

$$x^J(n) = \sum_{m=0}^{N_{j_0}-1} \tilde{a}_{j_0,m} \varphi_{j_0,m}(n) + \sum_{j=j_0}^J \sum_{m=0}^{N_j-1} \tilde{d}_{j,m} \psi_{j,m}(n),$$

where $\varphi_{j_0,m}(n) = \sqrt{2^{j_0}} \varphi(2^{j_0}n - m)$ and $\psi_{j,m}(n) = \sqrt{2^j} \psi(2^j n - m)$ are the known orthonormal scaling functions $\varphi_{j_0,m}(n)$ and wavelet functions $\psi_{j,m}(n)$, respectively; $\tilde{a}_{j_0,m} = \langle \tilde{x}, \varphi_{j_0,m} \rangle$ are empirical approximation coefficients and $\tilde{d}_{j,m} = \langle \tilde{x}, \psi_{j,m} \rangle$ are empirical detail coefficients; $j, m \in \mathbb{Z}$ are the current values of scale and shift; N_{j_0} (N_j) is the number of approximation coefficients (detail coefficients) considered at the corresponding levels of decomposition; j_0 is the initial scale value; J is the final scale value.

The resolution of the wavelet reconstruction $x^J(n)$ of an initial signal $x(n)$ is set by parameter J . Eventually $\|x^J - \tilde{x}\|_2 \rightarrow 0$ with $J \rightarrow \infty$.

Scaling functions $\{\varphi_{j,m}(n)\}$ and mother wavelet functions $\{\psi_{j,m}(n)\}_{j,m \in \mathbb{Z}}$ with 2ξ non-zero coefficients satisfy the so-called two-level relations [17]:

$$\begin{aligned} \varphi(n) &= \sqrt{2} \sum_{k=0}^{2\xi-1} h(k) \varphi(2n - k), \\ \psi(n) &= \sqrt{2} \sum_{k=0}^{2\xi-1} g(k) \varphi(2n - k), \end{aligned}$$

where $h(k)$ and $g(k)$ are the coefficients of low and high frequency filters of wavelet transform where $g(k) = (-1)^k h(2\xi - k - 1)$.

The discrete orthogonal wavelet-transform at MRA can be defined as a recursive algorithm:

$$\begin{aligned} a_{(j-1),m} &= \sum_{k \in N} h_{k-2m} a_{jk}, \\ d_{(j-1),m} &= \sum_{k \in N} (-1)^k g_{-k-2m+1} a_{jk}, \\ a_0 &= \tilde{x}. \end{aligned}$$

According to the Mallat pyramid algorithm [20], the initial signal is first passed through the decomposition filters of low and high frequency. The next step is to obtain approximation coefficients $\tilde{a}_{j,m}$ at the output of low frequency filter and detail coefficients $\tilde{d}_{j,m}$ at the output of high frequency filter, using decimation $\downarrow 2$. This algorithm goes on according to the scheme given below until it reaches the decomposition level J . In terms of wavelet coefficients the wavelet decomposition of a signal is presented in the following way.

$$\begin{aligned} W(\tilde{x}) &= \tilde{a}_0 \rightarrow \{\tilde{a}_1, \tilde{d}_1\} \rightarrow \{\tilde{a}_2, \tilde{d}_2, \tilde{d}_1\} \rightarrow \dots \\ &\dots \rightarrow \{\tilde{a}_N, \tilde{d}_N, \tilde{d}_{N-1}, \dots, \tilde{d}_1\} \end{aligned}$$

The solution of the noise reduction problem is carried out in four steps: 1) the initial signal is decomposed; 2)

the threshold value for noise is chosen for each level of decomposition; 3) the threshold filtration of detail coefficients is carried out; 4) the signal is reconstructed.

This technique is a non-parametric estimation of a regression model of a signal using an orthogonal basis [21]-[22] and works best for signals whose decomposition has a small amount of detail coefficients considerably different from zero.

The reconstruction of a function $x(n)$ is carried out using the modified wavelet coefficients for the signal deconvolution:

$$\hat{x}(n) = \sum_{m=0}^{N_{j_0}-1} \hat{a}_{j_0,m} \varphi_{j_0,m}(n) + \sum_{j=j_0}^J \sum_{m=0}^{N_j-1} \hat{d}_{j,m} \psi_{j,m}(n), \quad (4)$$

where $\hat{a}_{j_0,m}$ - and $\hat{d}_{j,m}$ -coefficients passed wavelet thresholding.

As a rule, the criteria of entropy minimum (H) is used to choose the optimum wavelet decomposition, being a logarithm of a signal's energy $H = \sum \log(\hat{x}^2)$.

Entropy of an initial signal reaches its maximum due to noisiness of a signal. With the increase of the wavelet decomposition the entropy decreases to its minimum, which corresponds to the optimal level of wavelet decomposition of the initial signal.

B. Determination of the optimal noise reduction for a signal from LPC

When studying the effects constituting a small fraction of the background one should, apart from high quality of experimental data, impose high requirements for their processing. Setting of the input parameters for the arbitrary noisy signals can present some difficulties in our case due to the necessity of having a priori data or an analysis of statistical characteristics of high frequency components of the signals. Since in the typical double-beta decay measurements (thousands of hours) the spectrum of noise can be exposed to substantial changes due to possible electronic noise pickups and microphonic noise, some adaptive method of noise reduction is needed in order to minimize the uncertainty of the initial signal shape evaluation which substantially influences the determination of the type of event.

It should be remarked that the choice of both a wavelet to be used and a level of decomposition depends on the properties of the signal under investigation. More smooth the wavelets create more smooth approximation of a signal, and vice versa, "short" the wavelets trace peaks of approximated function is better. The level of decomposition affects the scale of sifted out details. With the increase of the level of decomposition the model subtracts the increasing level of the noise, with the result that possible soothing occurs not only of the noise but also of some local characteristics of a signal. A series of approximation and detail wavelet coefficients is needed for the wavelet transform.

The noisy component of a signal in most cases is reflected in the detail coefficients $\tilde{d}_{j,m}$, and it is these coefficients that are subject to processing in the noise reduction technique. The noisy component, as a rule, has an absolute amplitude value less than that of the basic signal. So to reduce the noise one should zero those coefficients that are less than some threshold value. The choice of the threshold level of noise affects the quality of the signal noise reduction, which could be evaluated as a signal to noise ratio (P):

$$P = \frac{\frac{1}{N_s} \sum_{n=1}^{N_s} s^2(n)}{\frac{1}{N_z} \sum_{n=1}^{N_z} (z(n) - \overline{z(n)})^2}, \quad (5)$$

where $s(n)$ and $z(n)$ are the discrete vectors of a signal and noise; while N_s and N_z are their lengths, respectively.

Setting a small threshold keeps a residual noise in the detail coefficients and results only in insignificant increase in the signal to noise ratio. With large enough threshold one can lose coefficients carrying essential information. Search for an optimum threshold amounts to finding the maximum value of the signal to noise ratio with the least quadratic deviation of the estimated signal.

Let us express the empirical detail coefficients $\{\tilde{d}_{j,m}|m = \overline{1, N_j}\}$ corresponding to level j by the linear relation

$$\tilde{d}_{j,m} = g_{j,m} + \sigma_j \vartheta_{j,m}, \quad (6)$$

where $\{g_{j,m}|m = \overline{1, N_j}\}$ are the true detail coefficients of a signal without noise (N_j in this case is the number of detail coefficients, considered at a decomposition level j), and $\{\vartheta_{j,m}|\vartheta_{j,m} \in \text{Norm}(0, \sigma_j^2), m = \overline{1, N_j}\}$ are the reading of the additive Gaussian noise with zero mean and variance, σ_j^2 . Then the solution of the noise reduction problem could be reduced to the search of estimates $\{\hat{g}_{j,m}|m = \overline{1, N_j}\}$ of true detail coefficients: $g_{j,m} = T(\tilde{d}_{j,m})$. Such an estimate, carried out on the basis of empirical coefficients $\{\tilde{d}_{j,m}|m = \overline{1, N_j}\}$ and a given threshold $\theta_{j,i}$, is in fact a construction of a regression model of the true coefficients:

$$\hat{g}_{j,m} = d_{j,m} + \phi(d_{j,m}, \theta_{j,i}), \quad (7)$$

where $\phi(d_{j,m}, \theta_{j,i})$ is the remainder term of thresholding function written in a general form. Expressing by the least-squares procedure the deviation of coefficients in the regression model (7) as some risk function

$$R_j(\theta_{j,i}) = \sum_{k=1}^{K_j} (\hat{g}_{j,k} - g_{j,k})^2, \quad (8)$$

where $i \in N$, we learn that the optimum value of the threshold $\bar{\theta}_j$, producing in accordance with the Stein criterion [23] the best noise reduction of a signal, corre-

sponds to the case where function (8) has a global extremum:

$$\bar{\theta}_j = \arg \min_{\theta_{j,i}|i \in N} R_j(\theta_{j,i}). \quad (9)$$

To meet this criterion used in the noise reduction we applied the Birgé-Massart technique [24] to determine the threshold $\bar{\theta}_j$ to treat the detail wavelet coefficients. Coefficients $\tilde{d}_{j,m}$ less than the chosen threshold, were zeroed while the others were diminished by $\bar{\theta}_j$. The optimum value of criterion $\bar{\theta}_j$ has been chosen in accordance with minimum "entropy - logarithm of signal energy" principle.

To enhance the reliability of both empirical signal shape determination and its parameters' extraction we can add to each initial frame of a noisy pulse a known model signal with preset parameters and then process it with wavelet analysis. As a rule, during first $\sim 40 \mu s$ ("prehistory") in the frame of digitized pulse from the CSA [see Fig. 3 (c) and (d)], there is a noise path only. In this interval of time we can select a vector of values containing no signal. Thus, we can produce a sampling control $\{\tilde{z}(n)|n = 1, \dots, N_x/4; n = 1, \dots, N_x/4\}$ composed of two halves, each one being equal to "prehistory" (N_x is the total length of the frame). We add a model signal $s_o(n)$, composed of three Gaussian curves summed together. We derive a model signal with a real noise having put in linear dependence of a width and center of the Gaussian curves on the rise time of CSA output pulse,

$$\tilde{s}(n) = s_o(n) + \tilde{z}(n), n = 1, \dots, N_x/2. \quad (10)$$

To find the optimum technique for noise reduction of a similar signal and to define a quantitative measure of concordance between the estimated $\hat{s}(n)$ and initial $s_o(n)$ signals, we introduce the following criteria:

$$A = \frac{\sum_{n=1}^N [\hat{s}(n) - s_o(n)]^2}{\sum_{n=1}^N s_o^2(n)}; \quad B = \frac{\sum_{n=1}^N \hat{s}(n) s_o(n)}{\sum_{n=1}^N s_o^2(n)}; \quad (11)$$

$$C = \sqrt{A^2 + (B - 1)^2}. \quad (12)$$

The minimum value of functional A corresponds to the identity of the estimated and modeling signals, and its maximum corresponds to their total anticorrelation. In contrast, the minimum value of functional B indicates the anticorrelation of the mentioned signals and its maximum standing for their total identity. So, the lesser A and greater B , the more accurate is the resurrection of a signal under investigation. In other words, the algorithm of the signal $s_o(n)$ reconstruction amounts to minimization of functional $A(\hat{s})$ with simultaneous maximization of functional $B(\hat{s})$. The normalization of the introduced functionals allows comparing of various signals' decomposition for different wavelet function basis irrespective of

the parameters of the signals and basic functions. Functional $C(\hat{s})$ is a nonlinear combination of functional $A(\hat{s})$ and functional $B(\hat{s})$, and serves as a generalized quantitative characteristic of a quality of the reconstructed signal and is to be minimized.

C. Detection of a signal against additive noises

The current task can be solved with the MATLAB using Wavelet Toolbox [25] for signal and image treatment. To choose the optimal wavelet allowing one to obtain the best of wavelet threshold of a signal, the criterion of maximum ratio of initial entropy (H_0) and that purified from the noise signal (H) was used:

$$\eta = H_0/H. \quad (13)$$

Confusing, you have to explain "tree" each type of wavelets using the criterion "entropy - logarithm of energy". The following orthogonal wavelets with compact carrier were used - Dobeshies (*dbN*), Simlet (*symN*) and Coiflet (*coifN*), where N is the index number, designating the number of non-zero coefficients in filters. Wavelets are not symmetrical and are not periodic enough. Simlet wavelets are close to symmetric to certain degree.

For each specified empirical signal we defined the optimal number of decomposition levels using numerical simulation for the case when the signal is resurrected to a large degree and the noise interference is not so large yet as to significantly distort the shape of a signal. The procedure of the signal resurrection is shown in Fig. 4 for the model signal s_o which has additively added noise interference z_o taken from a real signal $\hat{x}(n)$. As one would expect, both the quality of the estimated signal and noise-to-signal ratio depend on the degree of overlapping of the wavelet-spectra of a signal to be reconstructed and noisy signal.

After choosing the best wavelet for a given pulse using the entropy criterion, the functionals (11) and (12) for each level of wavelet decomposition have been calculated for different values of threshold criterion of noise purification. Values of H , A , B and C are presented in Fig. 4 in (e), (f), (g) and (h), respectively, for 8 levels of pulse wavelet decomposition of $\tilde{s}(n)$ [Fig. 4(a)] using wavelet of Simlet *sym8* and soft thresholding [30].

Analysis of Fig. 4 (b), (c) and (d) allows one to observe the change in the estimated signal with increase in number of decomposition levels L taken into account. Fig. 4(c) shows that for decomposition level $L = 4$ of a noise signal $\tilde{s}(n)$ the minimum of functional $C(\hat{s})$ corresponds to the maximum accuracy in model signal $s_o(n)$. With the decomposition level of $L = 3$ we obtain the significant increase in sinusoidal noise, in case of ($L = 5$), which corresponds to the minimum of entropy H , the estimated signal takes smoother shape resulting in stronger distortions of the initial model signal parameters.

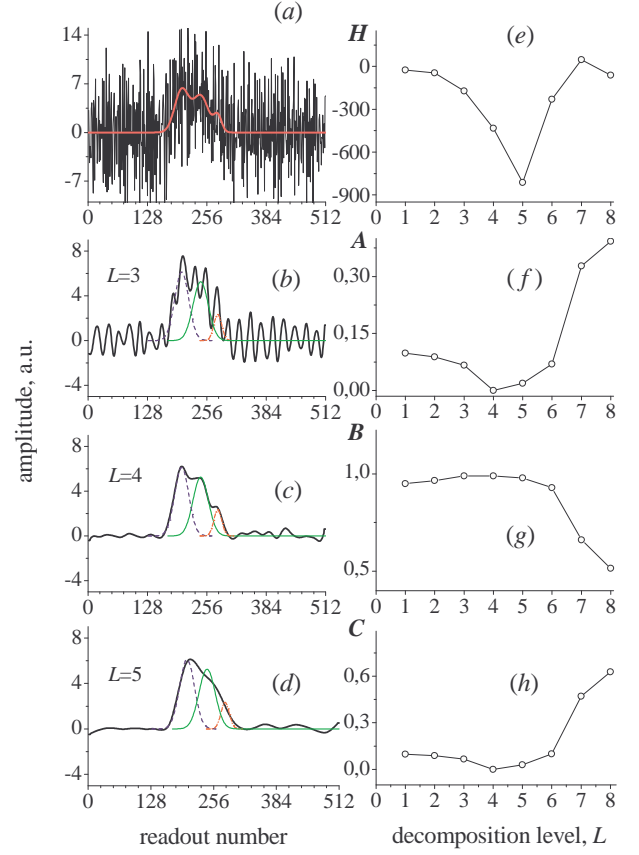


FIG. 4. Signal modeling graphs with the added real noise (a) and de-noise of signals for various levels of decomposition: (b)- $L = 3$, (c)- $L = 4$ and (d)- $L = 5$. (e) and (f), (g), (h) dependency's of entropy H and coefficients A , B , C from wavelet decomposition level, respectively.

Information on the type of event is contained in the shape of a electric current signal. Therefore the minimum of functional $C(\hat{s})$ approaches in our case the quantitative criterion describing a relation between an initial modelling signal without noise and estimated signal is better.

Thus, by determining, with the help of a model signal with definite empirical noise, the depth of the wavelet decomposition and threshold values $\bar{\theta}_j$ for each decomposition level we find the resurrected empirical signal proper to the event in LPC. By describing signals purified from noise with the help of a set of Gaussian curves using the minimization root-mean-square error technique one can separate multipoint events from single-point ones.

V. RESULTS OF THE FIRST STAGE OF MEASUREMENTS USING LPC

Fig. 5(a) shows the total spectra of $M1$ amplitudes, normalized for 1000 h, obtained for the background of LPC filled with krypton enriched in ^{78}Kr (total collection time is 8400 h; spectrum "I", dark line), and with natu-

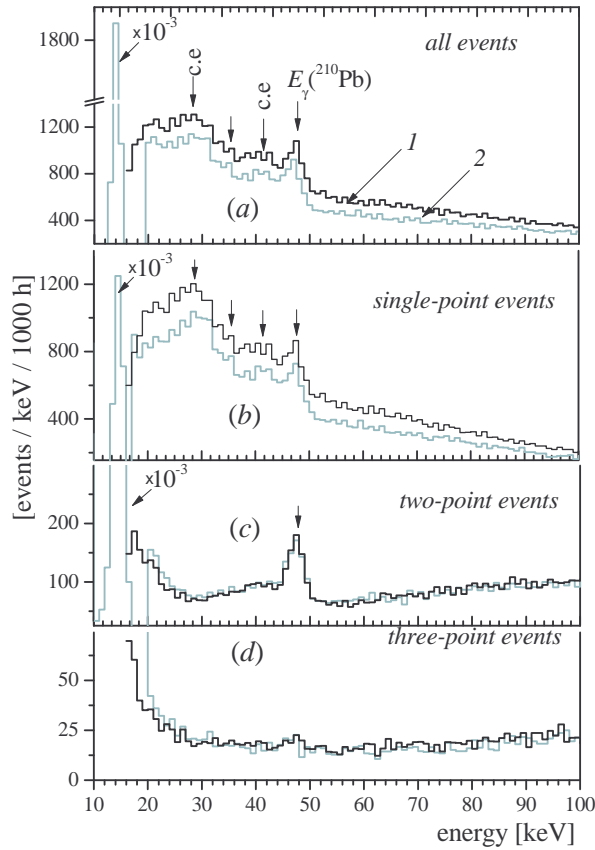


FIG. 5. Pulse height M1 spectrum's (normalized for 1000 h) of the background of LPC filled with krypton enriched in ^{78}Kr ("1", dark line) and with natural krypton ("2", light line): (a) - all events, (b) - single-point events, (c) - two-point events and (d) - three-point events.

ral krypton (total collection time is 5000 ; spectrum "2", light line). Comparison of these spectra shows that the background of the counter filled with krypton enriched in ^{78}Kr exceeds the background with natural krypton at energy ≥ 18 keV. The shape of difference (subtracted) spectrum in the range of 20–100 keV is described reasonably well by the model spectrum composed of β -spectra due to ^{85}Kr and ^{14}C decay in the proportion 1:17. Background counting rates in this range are 56.3 h^{-1} and 47.2 h^{-1} when filled with ^{78}Kr and ^{nat}Kr , respectively. The difference in ^{85}Kr isotope activities in the samples is due to its different residual value achieved during the isotopic purification. ^{14}C isotope comes into the krypton gas during the continuous usage of the counter, supposedly as a result of slow sublimation of organic molecules (ethyl alcohol and acetone were used to clean the components when assembling the detector) from the surface of the body of the counter. Overactivity of ^{14}C in the enriched krypton is due to the fact that this sample was measured first and the main portion of this organic vapour penetrated into it. Actually, all the events in the operating energy range produced by β -particles absorption can be considered as single-point ones, except for those event

where β -particles have lost partially their energy through generating bremsstrahlung photon.

At energies ≤ 18 keV, the counting rate of events in spectrum "2" is much higher than in spectrum "1". This is due to the presence, in the original atmospheric krypton, of cosmogeneous radioactive isotope ^{81}Kr ($T_{1/2} = 2.29 \cdot 10^5$ yrs) [26] with volume activity of $\sim 0.1 \text{ min}^{-1} \text{ l}^{-1} \text{ Kr}$ [27]-[28]; the significant part of which comes into the sample of natural krypton during the process of its production. ^{81}Kr decays by electronic capture producing ^{81}Br (K -capture 87.5% [29]). K -capture yields a 13.5 keV energy release. One can see the peak of this line in spectrum "2" (light line in Fig. 5). The resolution of the peak (FWHM) is 15.3%. Counting rate of events in $(13.5 \pm 3.0) \text{ keV}$ energy range is 220 h^{-1} , which corresponds to the volume activity of ^{81}Kr $(0.10 \pm 0.01) \text{ min}^{-1} \text{ l}^{-1} \text{ Kr}$.

The filling of K -shell vacancy of a daughter atom of bromine in 61.4% goes with emittance of characteristic X-rays of 11.92 keV ($K_{\alpha 1}$, 100%), 11.88 keV ($K_{\alpha 2}$, 51.9%), 13.29 keV ($K_{\beta 1}$, 13.6%), 13.47 keV ($K_{\beta 2}$, 1.36%) energies [26] and accompanying them Auger electrons of 1.55 keV, 1.60 keV, 0.27 keV and 0.01 keV, respectively (relative intensities of $K_{\alpha\beta}$ -lines are given in parentheses). Thus it is clear that in the case of photon emittance $K_{\alpha 1}$, $K_{\alpha 2}$ energy of Auger electrons is large enough to produce a distinct two-point event. Thirty-eight point six percent of all the events are due to the filling of Br atom K -shell vacancy accompanied by a cascade of Auger electrons, and are considered as events producing one point energy release. Events with the emittance of characteristic X-rays $K_{\alpha 1}$ and $K_{\alpha 2}$ are also considered as one point events since energy release of Auger electrons do not exceed the CSA noise. Using the above data and taking into account the efficiency of characteristic X-rays absorption in a krypton gas of LPC ($\varepsilon_p = 0.869$) one can calculate the component analysis of complete absorption peak with energy 13.5 keV with 49.4% single-point + 50.6% two-point event [16].

At 46.5 keV, in spectra "1" and "2" [Fig. 5(a)], one can see the peak corresponding to the source of ^{210}Pb ($T_{1/2} = 22.2$ yrs, β -decay, $E_\gamma = 46.5$ keV, with a yield is 4.25% per decay [26]). ^{210}Pb isotope is produced after ^{222}Rn ($T_{1/2} = 3.82$ days, α -decay) in the ^{238}U decay chain. It can be produced directly in copper material due to trace radioactive contaminant decay (volume source) or accumulate on the surface of the counter body in the form of daughter isotopes of ^{222}Rn decay, ^{222}Rn being present in the atmospheric air (surface source), during the preparation of mounting the detector. Gamma-radiation comes into a fiducial volume of the counter from both sources. There are mainly single-point events (due to photoeffect on krypton with de-excitation due to Auger-electrons) and two-point events (due to photoeffect with emission of krypton characteristic radiation) in the peak line of 46.5 keV, and only a small portion of events will be regarded as three-point ones where the primary photon or characteristic photon absorption oc-

curred through the scattering on the outer electrons with the following absorption of the secondary quantum.

Conversion electrons (c.e.) can originate from the surface source, ^{210}Pb . 30.1 keV (52% per decay) and 43.3 keV (13.6% per decay) lines are the most intensive. There are peaks in spectra 1 and 2 at ~ 28 keV and ~ 41 keV that could be associated with these lines. All the events of these peaks should be single-point ones. The observed shift of maximum energy with respect to the expected line could be explained by the deposit of a portion of near-wall primary ionization electrons on the cathode due to their diffusion. With the emittance of conversion electrons the residual excitation of the ^{210}Bi daughter shell deenergizes by radiation of characteristic photons of L -series ($E_{L_\alpha} = 10.8$ keV, 9.3% per decay; $E_{L_\beta} = 13.0$ keV, 11.2% per decay and etc.) or/and by Auger electrons. In different combinations this radiation can enter the fiducial volume simultaneously with β -particles and c.e. In the simplest case, when c.e. with 30.2 keV and characteristic L_α -photon are registered, a two point event with energy deposit of ~ 42.5 keV is produced in the gas. The fraction of such events is small enough in the total spectrum. There is a step at ~ 34 keV [Fig. 5 (a) and (b)] corresponding to the escape peak of 46.5 keV line for krypton ($E_\gamma - E_{K_{\alpha\text{Kr}}} = 46.5 - 12.6 = 33.9$ keV).

In general, all types of distortion of spectrum 1, at the energies lower than ~ 50 keV, from the smooth drop-down base which is characteristic for energies higher than 50 keV, could be "assigned" to ^{210}Pb source.

The scenario described above is confirmed by the distribution of events related to different peaks in the amplitude spectra, composed separately for events with different number of point ionization clusters in the composite pulse. In Fig. 5 one can see the corresponding spectra of amplitude $M1$ plotted after selecting the type of events by analyzing the form of electric current pulses purified from noise: (b) - single-point, (c) - two-point, (g) - three-point.

Comparison of the obtained relation of peak areas (13.5 keV and 46.5 keV) for different components with estimated values allow one to determine the efficiency, ε_k , of the procedure of the computer event selection with a given number of ionization regions. The efficiencies, ε_k , of two-point event selection were found to be 0.567 and 0.733 respectively, which are in good agreement with calculated model values - 0.604 and 0.745 [16].

As seen from Fig. 5(b), the main difference for background spectra for LPC filled with different gases is in single-point (event) spectra. LPC background spectra with various gases are in good agreement with each other for two- and three-point events in the energy region of 20 – 80 keV. For further analysis the three-point event spectra, Fig. 5(d), have been used. Each event of these spectra is characterized by a set of energy deposits distributed over three point-like regions of the operating volume of the counter. Energy deposits are proportional to the Gaussian areas (A_1, A_2, A_3), which describe three pointwise components of the total/composite cur-

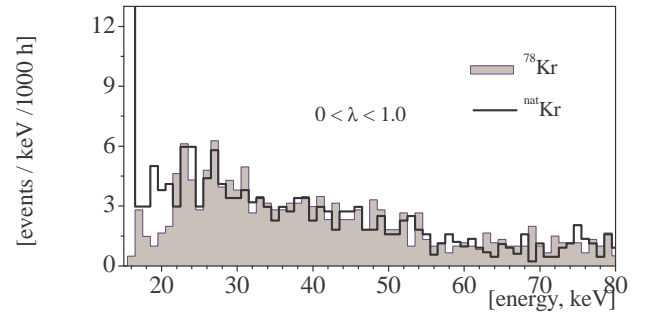


FIG. 6. The three-point spectra selected under the conditions "C1" and "C2".

rent pulse of primary ionization electrons on the border of the gas amplification region. Gaussian numbering corresponds to the arrival time sequence of the components coming into the gas amplification region. To simplify the selection of events with a given set of features the amplitudes of components for each event are arranged in the increased order $[(A_1, A_2, A_3) \rightarrow (q_0 \leq q_1 \leq q_2)]$.

In the sought-for events the minimal amplitude value (two groups of Auger-electrons from residual excitation with energies $E_1 \sim 1.5$ keV and $E_2 \sim 2.9$ keV) will correspond to a larger extent with respect to the resolution to the condition $0.9 \text{ keV} \leq q_0 \leq 4.5 \text{ keV}$: "C1". The middle and highest amplitudes are produced by characteristic K -photons ($K_{\alpha 1} + K_{\alpha 1}, K_{\alpha 1} + K_{\alpha 2}, K_{\alpha 2} + K_{\alpha 2}, K_{\alpha 1} + K_{\beta 1}, K_{\alpha 1} + K_{\beta 2}, K_{\alpha 2} + K_{\beta 1}, K_{\alpha 2} + K_{\beta 2}$) Se^{**} . In the total number of possible combinations, the fraction of the enumerated combinations of the two photon (α_k), registered in a composition of three-point event is $\alpha_k = 0.985$. It's convenient to introduce a parameter of average to maximum amplitude ratio. With an allowance for resolution significant part of the event would have this parameter within $1.0 \geq q_1/q_2 \geq 0.7$: "C2". The selection of events from spectra in Fig. 5(d) corresponding to the conditions ("C1" + "C2") allows an additional decrease in the background of the expected peak energy region and gives a general representation of a spectra form for wide range of energies.

The selected spectra are plotted in Fig. 6. They show that there are similar peaks for both spectra in the energy region of ~ 26 keV corresponding to the sought-for effect. To find their nature the study of the distribution of the number of background events of various types along the anode wire has been performed. This distribution was plotted using the dependence of the relative amplitude of the first after-pulse on the distance between the point of origin of the main pulse and the middle point of the length of the anode wire. This distance defines the solid angle viewing the inner surface of the copper cathode cylinder. The solid angle through which the operating surface of the cathode is viewed from the middle point of the anode wire and from the end points of the operating length of the anode wire are $\sim 3.9\pi$ and $\sim 2\pi$, respectively [Fig. 7(a)]. The density of distribution of the solid

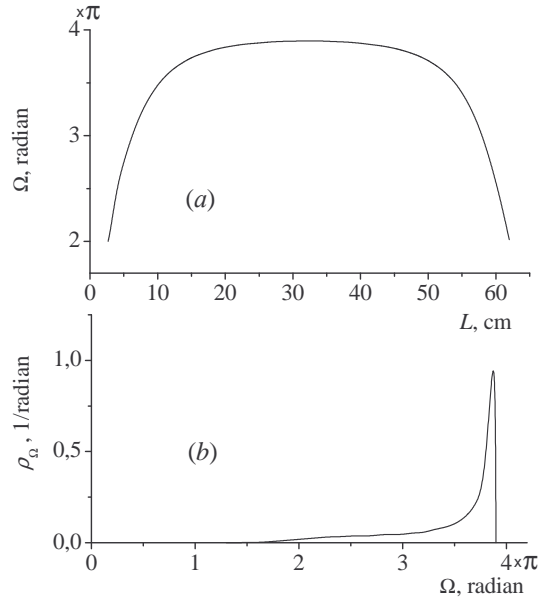


FIG. 7. (a) - the solid angle under which the interior surface of the counter from different points of an anode wire is visible. (b) - the density of distribution of the solid angle for the points uniformly distributed along the anode wire.

angle for the points uniformly distributed along the anode wire is presented in Fig. 7(b). There is a dependence on the solid angle of the relative number of photoelectrons, knocked out of the copper surface by the photons produced in the gas ionization. First after-pulse is produced as a consequence of gas amplification of secondary photoelectrons generated on the cathode within the operating length of the anode wire. It is the λ parameter, equal to the ratio of after-pulse and pulse amplitudes, $(M2 - M1)/M1$, accurate to the precision set by energy resolution of pulse and after-pulse, that determines the coordinate of the primary event with respect to the middle point of the anode wire. To calibrate the counter with respect to λ one needs a radioactive source uniformly distributed over the LPC volume. ^{81}Kr is well suited for this task.

A normalized distribution of the number of events of 11.5 – 15.5 keV energy (^{81}Kr) from the spectrum of one point events of the LPC background with natural krypton versus parameter λ is given in Fig. 8(a) as histogram with its maximum at $\lambda = 0.28$. Distribution of the number of event with 20 – 80 keV energy range is given in the same figure in shaded region.

Comparison of the distributions shows an excess of events over a bar graph in the region of $\lambda < 0.225$ value, corresponding to the ends of the anode wire. The form of distribution of this excess is obtained by fitting the subtracted out histogram [“shaded region” minus “histogram”], and is presented by dash-dot curve. Hence it follows that at the ends of the operating length of the anode wire there is an extra background source additional to the one that is uniformly distributed over the volume.

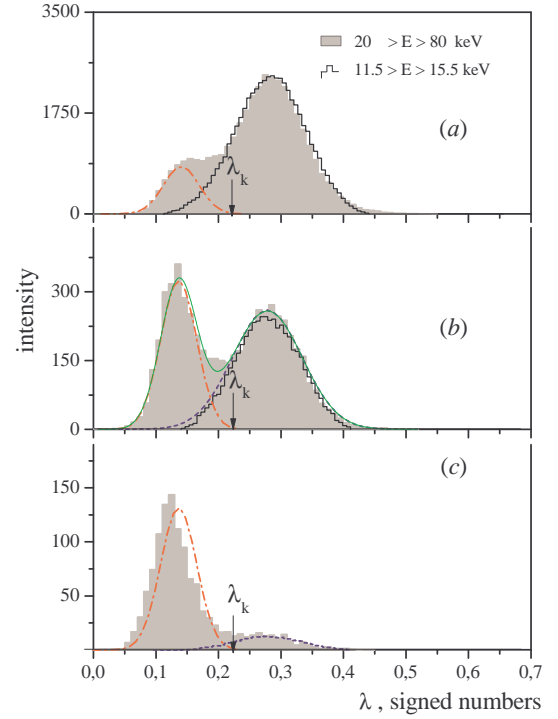


FIG. 8. The distributions of the events in LPC filled with natural krypton with energies 11.5 – 15.5 keV (^{81}Kr , histogram) and with energies 20 – 80 keV (shaded region). The dash-dot and dotted curve is result of fitting operation of the shaded region. (a) - single-point events, (b) - two-point events and (c) - three-point events.

In Fig. 8 (b) and (c), there is similar distribution of the number of two-point and three-point events with energy 20 – 80 keV (shaded region) and their uniform and near-boundary components (dotted and dash-dot curves). It is clear that the relative contribution of near-boundary into the corresponding spectrum increases with the increase of the number of point-like ionization clusters in a given event.

The additional events at the ends of the operating length of the anode wire are produced by particles coming out of the ‘dead’ near-end volumes of a krypton gas. Apart from the proportionally amplified components a total pulse could also be composed of components of larger energy deposits that occur in the ‘dead’ volume and are collected in the ionization mode at the end bulbs of the anode. Specifically, the ~ 26 keV in spectra of Fig. 9(a) could well be formed by alpha-particles emitted from the surface of the cathode in the near-end region where the anode wire is thickened with copper tubules. Alpha-particle can ionize K -shells of krypton atoms, and in this case the characteristic photons would have energies of 12.6 keV. These photons can penetrate into the operating volume of LPC where gas amplification occurs. Registration of two photons gives two points. The third point is produced by ionization of alpha-particle collected in the ionization mode. Discarding pulses with $\lambda < 0.225$

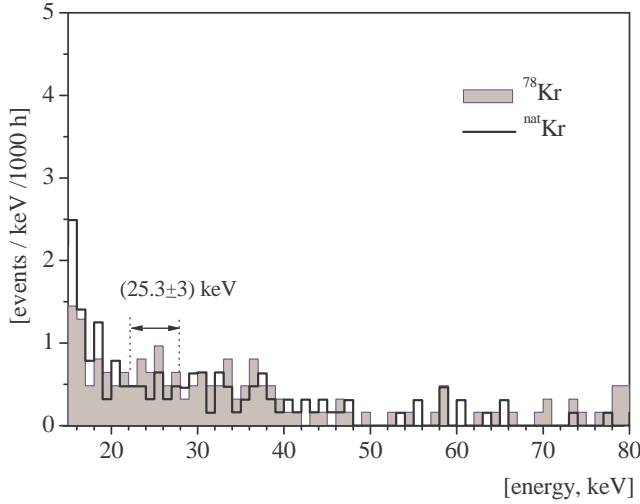


FIG. 9. The three-point spectra selected under the conditions ("C1" + "C2") and $\lambda \geq 0.225$.

one can completely eliminate such events from 'three-point' spectra. The selection coefficient of useful event (k_λ) remains high enough: $k_\lambda = 0.84$.

In Fig. 9 shaded-to-zero the bar graph (^{78}Kr) and the linear bar (^{nat}Kr) are three-point spectra selected under condition of $\lambda \geq 0.225$ from spectra shown in Fig. 6. The background in energy region of (25.3 ± 3.0) keV in these spectra is $n_1 = (38.1 \pm 6.3)$ yr^{-1} and $n_2 = (20.7 \pm 6.0)$ yr^{-1} , respectively, which gives count rate of $2K$ -capture for ^{78}Kr to be $n_{exp} = n_1 - n_2 = (17.4 \pm 8.7)$ yr^{-1} . The obtained positive value does not exceed two standard deviations and in this case limit on the expected effect only was set for 90% C.L.: $n_{exp} \leq 31.7$ yr^{-1} .

The half-life limit has been calculated using formula

$$T_{1/2} \geq (\ln 2) \cdot N \cdot p_3 \cdot \varepsilon_p \cdot \varepsilon_3 \cdot \alpha_k \cdot k_\lambda / n_{exp},$$

where $N = 1.08 \cdot 10^{24}$ is the number of ^{78}Kr atoms in the operating volume of the counter, $p_3 = 0.355$ is a

portion of $2K$ -captures accompanied by the emission of two K X-rays; $\varepsilon_p = 0.809$ is the probability of two K -photon absorption in the operating volume; $\varepsilon_3 = 0.422$ is the selection efficiency for three-point events due to $2K$ -capture in ^{78}Kr ; $\alpha_k = 0.985$ is the portion of events with two K -photon that could be registered as distinct three-point events; $k_\lambda = 0.840$ is the useful event selection coefficient for a given threshold with respect to λ . The result obtained is

$$T_{1/2}(0\nu + 2\nu, 2K) \geq 2.4 \cdot 10^{21} \text{yr (90\% C.L.)}$$

VI. CONCLUSION

We have demonstrated the possibilities for reducing the background of the proportional counter by ~ 2000 times for the case of registering $2K$ -capture events in ^{78}Kr by selecting pulses according to the number of point-like clusters and the event's coordinate along the length of the anode wire. In the spectrum of selected three-point events for LPC filled with enriched krypton, for the energy region of the sought-for effect, there is an excess of events that, within the achieved level of statistics, does not exceed two standard deviations. The current result has been used to set the limit on the half-life of ^{78}Kr $2K$ -capture. The experiment is in progress.

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